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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/770,943

09/26/2001

8/25/2001

1646

1115

~~6548~~~~173US1~~

UCAL173CON

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CONFIRMATION NO. 8209

FILING RECEIPT



OC00000006428885

Paula A. Borden
BOZICEVIC, FIELD & FRANCIS LLP
Suite 200
200 Middlefield Road
Menlo Park, CA 94025

Date Mailed: 08/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Eyal Raz, Del Mar, CA;
Mark Roman, San Diego, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/092,314 06/05/1998 PAT 6,225,292
WHICH CLAIMS BENEFIT OF 60/048,793 06/06/1997

60/048,793

Foreign Applications

If Required, Foreign Filing License Granted 08/15/2001

Projected Publication Date: To Be Determined - pending completion of Sequence Disclosures

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Inhibitors of DNA immunostimulatory sequence activity

RR8/23
DOCKETED
Carroll OFK
(Filing Date
8/30/01)

Preliminary Class

530

Data entry by : PRASASOUK, NOUSALY

Team : OIPE

Date: 08/15/2001

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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NOT GRANTED

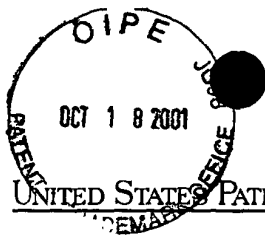
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- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/770,943	01/26/2001	Eyal Raz	6510-173US1

CONFIRMATION NO. 8209

FORMALITIES LETTER



OC000000006428886

Paula A. Borden
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Suite 200
200 Middlefield Road
Menlo Park, CA 94025

Date Mailed: 08/15/2001

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
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PART 2 - COPY TO BE RETURNED WITH RESPONSE



CERTIFICATION REGARDING SEQUENCE LISTING

Application Number	09/770,943
Confirmation Number	8209
Filing Date	January 25, 2001
First Named Inventor	RAZ
Examiner	To Be Assigned
Group Art	1646
Attorney Docket No.	UCAL173CON

ADDRESS TO: Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby certify that the enclosed Sequence Listing is being submitted in paper copy and on a computer-readable diskette, and that the content of the paper and computer readable copies are the same.


I hereby certify that the enclosed submission includes no new matter.

Signature			Date	10-15-2001	
Firm Name	Bozicevic, Field & Francis LLP		Address	200 Middlefield Road, Suite 200	
City	Menlo Park	State	California	zip	94025
Telephone - Direct Dial	(650) 833-7710		Facsimile	650-327-3231	

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231.

Name (Print/Type)	Cindy Hoang	Signature		Date	10-15-2001
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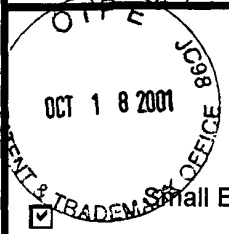
<input type="checkbox"/> Terminal Disclaimer		Fee	
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group			
<input type="checkbox"/> Notice of Appeal	Pages	Fee	
<input type="checkbox"/> Appeal Brief in Triplicate	Pages	Fee	
<input type="checkbox"/> Reply Brief	Pages	Fee \$ -	
		Subtotal \$ -	
<input checked="" type="checkbox"/> Other Enclosures and/or Fees		1) Request to Correct Filing Receipt (1 pg.) Fee 2) Marked-up Copy of Filing Receipt (3 pgs.) 3) Copy of Express Mail Receipt dated 1/25/2001	
<input type="checkbox"/> Change of Correspondence Address			
<input checked="" type="checkbox"/> Return Receipt Postcard		TOTAL FEES \$ -	
The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.			
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED			
Name (Print/Type)	Paula A. Borden		Registration No. 42,344
Signature			Date 10-15-2001
Firm Name	Bozicevic, Field & Francis LLP	Address 200 Middlefield Road, Suite 200	
City	Menlo Park	State California	zip 94025
Telephone - Direct Dial	650-327-3400	Facsimile	650-327-3231

Application No. 09/770,943 Attorney Docket No. UCAL173CON Page 2 of 2

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<div>TRANSMITTAL</div> <div><input checked="" type="checkbox"/> Small Entity <input type="checkbox"/> Large Entity</div>				Application Number	09/770,943
				Confirmation Number	8209
				Filing Date	January 25, 2001
				First Named Inventor	RAZ
				Examiner	To Be Assigned
				Group Art	1646
				Attorney Docket No.	UCAL173CON

ENCLOSED:	Claims	No. of claims as filed or after amendment	Most claims previously paid for	# Extra Claims	Rate	Totals
	<input type="checkbox"/> Amendment Under Rule	Total				\$ -
	<input type="checkbox"/> 37 CFR §	Independent				\$ -
	<input type="checkbox"/> Pages	Multiple				
	Total Extra Claim Fees					\$ -

<input type="checkbox"/> Applicants Petition for an Extension of time from _____ to _____	Fee _____
A _ month extension was previously filed and paid for thereby reducing the basic fee	

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<input type="checkbox"/> Filing Fee		Surcharge Fee _____
<input type="checkbox"/> Executed Declaration	Pages _____	Fee _____
<input type="checkbox"/> Other	_____	Fee _____
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	_____	Fee _____
	_____	Subtotal \$ -

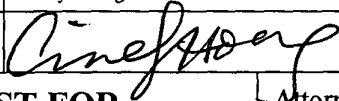
<input type="checkbox"/> Information Disclosure Statement		Fee _____
<input type="checkbox"/> PTO Form 1449	Pages _____	Subtotal \$ -
<input type="checkbox"/> _____ Copies of Cited References		
<input type="checkbox"/> Other	_____	Fee _____

<input checked="" type="checkbox"/> Response to Notice to Comply (with copy of Notice to Comply)		Fee _____
<input checked="" type="checkbox"/> Sequence Listing Certification		
<input checked="" type="checkbox"/> Paper Copy of Sequence Listing	Pages <u>3</u>	
<input checked="" type="checkbox"/> Diskette in computer-readable format		
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Typed or Printed Name	Cindy Hoang		
Signature		Date	October 15, 2001
REQUEST FOR CORRECTED FILING RECEIPT Address to: Commissioner for Patents Washington, D.C. 20231		Attorney Docket	UCAL173CON
		First Named Inventor	RAZ
		Application Number	09/770,943
		Filing Date	January 25, 2001
		Group Art Unit	1646
		Examiner Name	To Be Assigned
		Title	Inhibitors of DNA Immunostimulatory Sequence Activity

Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) Filing Date should read -1/25/2001—(Copy of Mailing Receipt is enclosed) and
- (2) Atty Docket No. should read - UCAL173CON--and
- (3) The Continuing Data as claimed by Applicant should read --THIS
APPLICATION IS A CON OF 09/092,314 06/05/1998 PAT 6,225,292
WHICH CLAIMS BENEFIT OF 60/048,793 06/06/1997--

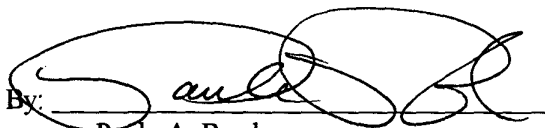
If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date:

Oct. 15, 2001

By:



Paula A. Borden
Registration No. 42,344

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Telephone: (650) 327-3400 Facsimile: (650) 327-3231

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